Microwave study of quantum $n$-disk scattering

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We describe a wave-mechanical implementation of classically chaotic $n$-disk scattering based on thin two-dimensional microwave cavities. Two-, three-, and four-disk scatterings are investigated in detail. The experiments, which are able to probe the stationary Green’s function of the system, yield both frequencies and widths of the low-lying quantum resonances. The observed spectra are found to be in good agreement with calculations based on semiclassical periodic orbit theory. Wave-vector autocorrelation functions are analyzed for various scattering geometries, the small wave-vector behavior allowing one to extract the escape rate from the quantum repeller. Quantitative agreement is found with the value predicted from classical scattering theory. For intermediate energies, nonuniversal oscillations are detected in the autocorrelation function, reflecting the presence of periodic orbits.

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I. INTRODUCTION

The system of $n$-disks on a plane is perhaps the simplest and paradigmatic example of a chaotic scattering system [1–5]. The classical differential cross section of a system with $n>2$ disks on a plane is singular, with singular points forming a Cantor set [1,3]. Thus the investigation of chaotic scattering geometries naturally brings in the capability to access the intrinsic fractal nature of the underlying classical repellor.

From the perspective of quantum-classical correspondence, the wave-mechanical counterpart of this “fractal pinball game” is even more intriguing. Semiclassical attempts to treat this problem led to important theoretical advances and to the development of sophisticated semiclassical tools, notably cycle expansion, that represents one of the more productive applications of periodic orbit theory [5].

From a broader perspective, $n$-disk scattering can be regarded as the prototype of an open quantum chaos system. For closed quantum chaotic systems (billiards in particular), theoretical and experimental results are available on spectral eigenvalues (which are purely real), and a good understanding of both universal and nonuniversal features has been reached [6]. Open chaotic systems are a special class of open quantum systems, whereby bound states of a closed geometry are converted to long-lived metastable states due to coupling to continua. Accordingly, eigenvalues are intrinsically complex, and their universal behavior is currently a subject of considerable interest [7].

Investigation of $n$-disk scattering was originally stimulated by the attempt of modeling unimolecular reactions [3]. However, the system turned out to be a good exemplification for a variety of physical situations, ranging from crossroad geometries for semiconductor devices, to electromagnetic and acoustic scattering, and heavy-ion nuclear reactions [8].

In spite of extensive theoretical analysis, there have been few direct experimental implementations of such chaotic model geometries so far. In this paper we present the results of a systematic experimental study of the quantum resonances and decay characteristic of two-dimensional (2D) scattering repellers. The experiments utilize thin microwave geometries where, under appropriate conditions, the underlying Helmholtz equation maps exactly into the time-independent Schrödinger equation in two dimensions. Experiments were carried out for $n=1$, 2, 3, and 4, as well as for large $n=20$, the latter corresponding to the so-called random Lorentz scatterer. A concise account of the main results was presented in Ref. [9].

The experiments, which are able to access the stationary Green’s function of the system, yield the frequencies and the widths of the low-lying quantum resonances. We carried out semiclassical calculations of such resonances, which are found to reproduce the measured spectra reasonably well. Our experiments enable us to explore the role of symmetry in a unique way by probing different irreducible representations of the symmetry group of the scatterer. The experimental data are used to identify both universal and nonuniversal signatures of the classical chaos in the transmission spectra, through measures such as the spectral (wave-vector) autocorrelation function.

Correlation functions are a valuable tool to extract key information on the spectral properties of the system. In mesoscopic conductors, measurements of the magnetic field correlation of the conductivity have provided unique insight into the manifestations of the chaotic classical dynamics at the quantum level [10]. Correlations of wave functions in chaotic systems have been considered recently as a probe for classical ergodicity predictions [11]. In the present experiments, we take advantage of our ability to vary the wave-vector of the system to directly access energy correlations, that are difficult to extract in semiconductor microstructures. The small $\kappa$ (long time) behavior of the resulting autocorrelation provides a measure of the quantum escape rate, which is shown to be in good agreement with the corresponding classical value. For large $\kappa$ (short time), the contribution of periodic orbits is evidenced through nonuniversal oscillations of the autocorrelation function.

The content of the paper is organized as follows. After describing the experimental realization in Sec. II, the connections between electromagnetic and quantum-mechanical scattering, and between the observed transmission amplitude and the stationary Green’s function are elucidated in Sec. III.
In Sec. IV, the essential background on semiclassical theory is recalled, with emphasis on the role played by symmetry properties. The remaining sections are devoted to the presentation and the interpretation of the experimental results: in Sec. V, we present a comparison between the measured traces and the semiclassical predictions for various scattering geometries probed in the experiment, while in Sec. VI we focus on the analysis of spectral autocorrelations, from which the wave-mechanical escape rate can be extracted. An overall discussion of the results and their implications, together with an outlook on open issues and future work, are presented in the conclusive section.

II. EXPERIMENTAL REALIZATION

The experiments were carried out in thin microwave structures consisting of two highly conducting Cu plates spaced \( d = 6 \) mm and about \( 55 \times 55 \) cm\(^2\) in area. A picture of a typical experimental setup is displayed in Fig. 1. Similar experiments in closed geometries have provided direct observation of scars [12], enabled precise tests of eigenvalue statistics [13], and allowed experimental studies of localization effects [14]. Disks and bars also made of Cu and of thickness \( d \) were placed between the plates and in contact with them. Disks of radius \( a = 2 \) and \( 5 \) cm were used in the experiments.

In order to simulate an infinite system, microwave absorber material ECCOSORB AN-77 with thickness \( d \) was sandwiched between the plates at the edges. It is a laminated material and has a front surface (white) and a back surface (gold). It is designed to reflect less than \(-20 \) dB of normally incident energy above \( 1.2 \) GHz, relative to a metal plate. To achieve the best reflection reduction, Cu bars are attached to the absorber from the outside. Microwaves were fed into the system by inserting a loop-terminating coaxial line in the vicinity of the scatterers. Since we ensure that the relation \( f < f_c = c/2d = 25 \) GHz is valid for all operating frequencies (here \( k = 2 \pi f/c, c \) being the speed of light), the only allowed electromagnetic modes are a class of TM (transverse magnetic) modes with no variation along the direction \( z \) orthogonal to the plates. The nonvanishing field components for these states are the axial component of the electric field \( E_z \), and transverse components of the magnetic field \( H_x, H_y \), or \( \mathbf{H} = (1/ik) \mathbf{\hat{z}} \times \nabla E_z \). Thus, essentially, the geometry of the experiment can be regarded as two dimensional, the whole field configuration being accessible from knowledge of \( E_z \) alone. The output signal can be picked up by both directly coupling to the axial electric field \( E_z \) (electric coupling) or by measuring the associated transverse magnetic field \( \mathbf{H} \) (magnetic coupling). In the first configuration, a microwave pin is inserted through appropriate holes drilled on the top plate of the cavity, while magnetic coupling is established by suitably positioning a second loop perpendicular to the plates. Note that, for a sufficiently small loop area, the measured signal is simply proportional to the electric field value at the pick-up probe location in both cases. Data from both electric and magnetic coupling configurations are used in the subsequent analysis.

The resonances were probed in the transmission mode by sweeping the frequency in the range between 0 and 20 GHz. All the measurements are carried out using an HP8510B vector network analyzer measuring the complex transmission coefficient \( S_{21}(f) = (X+\mathbf{i}Y)(f) \) of the coax plus scatterer system. It is crucial to ensure that there is no spurious background scattering due to the finite size of the system. This was verified carefully as well as that the effects of the coupling probes were minimal and did not affect the results. Also, we stress that dissipation effects due to finite conductivity of the walls are entirely negligible in the present experiments.

III. GREEN’S FUNCTION AND EXPERIMENTAL S PARAMETERS

A. Electromagnetic vs quantum mechanical scattering

According to Maxwell equations, the problem of stationary scattering of electromagnetic waves from perfect metallic conductors in a 2D plane is defined mathematically by the Helmholtz equation for the field component

\[
(\nabla^2 + k^2)E_z(\mathbf{r}) = 0
\]

for \( \mathbf{r} = (x,y) \) outside the scattering region (i.e., between the disks), supplemented by Dirichlet boundary conditions on the perimeter of any scatterer,

\[
E_z(\mathbf{r}) = 0, \quad \mathbf{r} \in \text{perimeters},
\]

implying, for any geometry, a vanishing electric field inside the disks. The Helmholtz equation is formally identical to the time-independent Schrödinger equation with \( \Psi = E_z \). Since, in addition, the same boundary conditions apply to both the axial electric field and the wave function, the problem is
equivalent to quantum mechanical scattering from hard disks. This correspondence is exact in the whole range of frequencies below the cutoff frequency \( f_c \) that are considered in the experiment.

According to quantum scattering theory, the stationary properties of the scattering process are characterized completely by the collection of S-matrix elements, expressing the transition amplitudes between asymptotic incoming and outgoing states \([5,15]\). The S matrix exhibits poles as a function of the wave vector (or energy) of the incoming wave. These poles, corresponding to scattering resonances, occur in the complex \( k \) plane, \( k = k' + ik'' \). The imaginary part is interpreted as a resonance half-width, implying that scattering resonances are associated with metastable states having a finite lifetime. Note that, in contrast to closed-cavity geometries where nonzero widths only arise due to the finite wall conductivity \([16]\), widths have here a purely geometric origin, the openness of the system preventing a stable trapping of the states within the scattering geometry for arbitrarily long times. We shall use the convention \( k = s - i \gamma \) for a given resonance, i.e., \( s = k' \) to denote the position of the resonance along the \( k \) axis and \( \gamma = -k'' \), \( k'' < 0 \) to denote half-widths in the wave-vector domain. \( \gamma \) is related to the observed resonance width \( \Delta f \) in the frequency spectrum by \( \Delta f = (c/2\pi)k(\pi/k) \).

The correspondence, pointed out above, between the equations of motion and the boundary conditions satisfied by electromagnetic (EM) and quantum-mechanical (QM) stationary scattering allows one to establish a direct mapping between the S-matrix spectral properties in the domain of complex wave vectors:

\[
(k' + ik'')_{\text{EM}} = (k' + ik'')_{\text{QM}}.
\]

This mapping enables us to study the quantum properties of the n-disk system. Note that quantum-mechanical (half) widths \( \Gamma/2 \) for unstable states are typically defined in the energy domain as \( E = E'/2 \), \( E'' < 0 \), the relation between (complex) pseudoenergies and (complex) wave vectors being \( E = (hk')^2/2m \) as in the real case \([17,18]\). Thus, \( E'' = (h^2/2m)k'k'' \). However, due to the difference between the nonstationary propagators for EM and QM problems, care should be taken when introducing and comparing associated quantities like decay rates or lifetimes which are defined in the time domain. By exploiting the time-dependent Schrödinger equation, quantum decay rates for square amplitudes \( |\Psi(t)|^2 \) are found as inverse lifetimes \( 1/\tau = \Gamma/\hbar = 2E''/\hbar \). One obtains \( \tau = \Gamma/\hbar = 1 \), expressing Heisenberg’s uncertainty principle. From the full wave equation for a monochromatic field, the decay rate of the field amplitude \( |E_z(t)|^2 \) is given by \( 1/\tau = 2\gamma c = c[2k'' \])\). Accordingly, \( \tau = \gamma c = 1 \). In spite of such a difference, the wave-mechanical spectrum will allow us to extract a quantity (the classical escape rate) which is related to the average trapping time of the underlying classical trajectories inside the scattering region.

### B. Green’s function

We turn now to outline the relationship between the measured response \( S_{21} \) and the two-point Green function of the chaotic billiard. The equation of motion satisfied by the relevant field component \( E_z \) in the presence of a monochromatic driving at frequency \( \omega \) is

\[
\left( \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) E_z(\mathbf{r}, t) = E_0(\mathbf{r}) e^{-i\omega t},
\]

\( \mathbf{r} \) denoting 2D coordinates in the plane and \( E_0(\mathbf{r}) \) being the associated amplitude for waves fed into the cavity with wave vector \( k = o/c \). For a stationary field configuration, the above equation reads

\[
(\nabla^2 + k^2) E_z(\mathbf{r}) = E_0(\mathbf{r}).
\]

By definition, the so-called Green’s function (or propagator) associated with the wave equation differential operator satisfies the equation

\[
(\nabla^2 + k^2) G(\mathbf{r}, \mathbf{r}_0; t, t_0) = \delta(\mathbf{r} - \mathbf{r}_0) \delta(t - t_0)
\]

for fixed \( \mathbf{r}_0 \) and \( t_0 \). The Green’s function \( G(\mathbf{r}, \mathbf{r}_0; t, t_0) \) is identical to the wave function generated by the system at point \( \mathbf{r} \) and time \( t \) in response to a \( \delta \)-like excitation applied at point \( \mathbf{r}_0 \) and time \( t_0 \). For a time-homogeneous system, \( G(\mathbf{r}, \mathbf{r}_0; t, t_0) = G(\mathbf{r}, \mathbf{r}_0; t - t_0) \), and Fourier transformation of Eq. (6) gives

\[
(\nabla^2 + k^2) G(\mathbf{r}, \mathbf{r}_0; k) = \delta(\mathbf{r} - \mathbf{r}_0),
\]

where the stationary Green’s function has been introduced:

\[
G(\mathbf{r}, \mathbf{r}_0; t - t_0) = \frac{1}{2\pi} \int G(\mathbf{r}, \mathbf{r}_0; k) e^{ik(\mathbf{r} - \mathbf{r}_0)} dk.
\]

Knowledge of the Green’s function allows one to calculate the response of the system to a generic excitation \( E_0(\mathbf{r}) \) as a convolution integral \( E_z(\mathbf{r}, t) = \int G(\mathbf{r}, \mathbf{r}_0; k) E_0(\mathbf{r}_0) d\mathbf{r}_0 \). In particular, if a pointlike probe is placed at \( \mathbf{r}_1 \) to excite the system, \( E_0(\mathbf{r}) = E_0(\mathbf{r}_1) \delta(\mathbf{r} - \mathbf{r}_1) \), we simply obtain \( E_z(\mathbf{r}_2) = G(\mathbf{r}_2, \mathbf{r}_1; k) E_0(\mathbf{r}_1) \).

The connection with the observable quantity of our experiments is established by recalling that the transmission coefficient \( S_{21} = V_{\text{out}}/V_{\text{in}} \), where \( V_{\text{in}} \) and \( V_{\text{out}} \) are linear responses to the electric field at the probe locations \( \mathbf{r}_1 \) (input) and \( \mathbf{r}_2 \) (output), respectively. Note that \( S_{21} \) can be related to the S-matrix element describing transmission between waves entering through antenna 1 and going out through antenna 2 \([19]\). We have \( V_{\text{out}} = \alpha E_z(\mathbf{r}_2) \) and \( V_{\text{in}} = \beta E_0(\mathbf{r}_1) \), \( \alpha \) and \( \beta \) denoting impedance factors that are characteristic of the coax lines and the analyzer, and are generally slowly varying functions of frequency. Thus we obtain

\[
S_{21}(k) = \alpha(\mathbf{k}) G(\mathbf{r}_2, \mathbf{r}_1; k),
\]

In a formal analogy with the closed-system case, the Green’s function can be expressed in terms of a generalized eigenfunction expansion \([17]\).
Green’s function is directly related, as shown in Sec. II, to the experimental trace, one can interpret
\[ T(k) \approx |S_{21}(k)|^2 \] as a measurement of the two-point conductance. As a consequence, direct comparison is possible with theories originally developed for electronic micro-structures [10,20,22,23]. Note that in the language of mesoscopic conductors, the weak-coupling assumption between the system and the pointlike leads implies the possibility of neglecting, as noted above, any perturbation effect associated with the so-called lead self-energy [17]. From a general point of view, microwave-based implementations offer, compared to their solid-state counterparts, the advantage of a simple and practically unlimited manipulation of geometrical properties, along with the possibility of changing the wave vector (and thereby the energy) of the incoming waves at will. Finally, since electromagnetic waves are intrinsically noninteracting, a direct analogy with the ideal limit of a noninteracting electron gas applies, and microwave experiments automatically probe quantum transport in the ballistic regime.

IV. SEMICLASSICAL THEORY

The starting point for the semiclassical derivation is the concept of the generalized density of states \( D(E) \) which, according to Balian and Bloch [24], is defined as a suitable difference between the density of states of the free and of the scattering system. The relative density of states is related to the S matrix in the following way:
\[ D(E) = \frac{1}{2\pi i} \text{tr} \left[ S^\dagger \frac{dS(E)}{dE} \right]. \]

Therefore, the density \( D(E) \) and the S matrix share the same complex poles. \( D(E) \) itself is written as
\[ D(E) = -\frac{1}{\pi} \text{Im} g(E), \]
where the function \( g(E) \), which is related to the so-called quantum Selberg \( \zeta \) function, is the trace of the difference between the Green’s function in the presence and in the absence of the disks, respectively.

In the semiclassical limit, \( g(E) \) is expressed as a sum over periodic orbits, i.e., by Gutzwiller’s trace formula [25]. The trace function can then be written in terms of the Ruelle \( \zeta \) function as [3],
\[ g(E) = g_0 + \sum_{j=0}^{\infty} \frac{\partial}{\partial E} \ln \zeta_{(1/2)+j}(ik), \]
where \( g_0 \), which is independent on the energy \( E \), is given by the difference between the so-called Thomas-Fermi state densities with and without the disks, e.g., \( g_0 = -4a^2ml/(2h^2) \) in the four-disk configuration. In Eq. (16), the Ruelle \( \zeta \) function with \( j \) running from 0 to \( \infty \) is given explicitly as
where \( k \) is the wave vector, \( l_p \) is the length of the periodic orbit \( p \), \( L_p \) the number of collisions of the periodic orbit with the disks, and \( \Lambda_p \) the eigenvalue of the so-called stability (or monodromy) matrix. According to the above expressions, the task of finding the scattering resonances of the system is reduced to the problem of identifying the complex poles of the Ruelle \( \zeta \) function. Since the Ruelle \( \zeta \) function with \( j = 0 \) provides the leading contributions to the resonances with longer lifetimes (sharper resonances), we will only restrict ourselves to this case in our subsequent discussion.

The Ruelle \( \zeta \) function can be conveniently expressed in terms of a so-called Euler product representation

\[
\zeta^{-1} = \prod_p (1 - t_p),
\]

(18)

t_\( p \) denoting the semiclassical weight for cycle \( p \) [26]. In practice, the periodic orbit formula for the Ruelle function is evaluated by performing a cycle expansion and investigating the zeros and radii of convergence as functions of truncations to cycles of a given maximum topological length. In the \( n \)-disk problem, if the disks are positioned sufficiently far from each other along a ring, it is possible to travel between any three successive of them and the trapped trajectories can be put in one-to-one correspondence with the bi-infinite sequence of symbols \( \omega \) taken in the alphabet \( \{1, 2, 3, \ldots, n\} \) with \( \omega_{k+1} \neq \omega_k \). The latter constraint implies that the topological entropy per bounce is equal to \( \ln(n-1) \). Thus the system symbolic dynamics has a finite grammar, and the Euler product (18) can be rewritten by separating out a dominant fundamental contribution and the remaining corrective terms:

\[
\prod_p (1 - t_p) = 1 - \sum_f t_f - \sum_r c_r.
\]

(19)

Here the number of fundamental terms \( t_f \) is equal to the number of symbols in the unconstrained symbolic dynamics, while the so-called curvature corrections \( c_r \) represent contributions due to the nonuniformity of the system [26].

In our experiments, \( n \) identical disks are placed on a ring with equal space between the nearest neighbors. Accordingly, the system is characterized by symmetry point group \( G = C_{2n}, C_{3n}, C_{4n}, C_{5n}, C_{6n}, \ldots \) for \( n = 2, 3, 4, \ldots \) etc., and symmetry properties can be used to classify the corresponding scattering resonances. In practice, the presence of symmetries can also be exploited to simplify the cycle expansion and improve its convergence. The key concept is to remove symmetry-induced degeneracies between cycles by reducing the dynamics to the so-called fundamental domain. The latter is a region obtained by ideally replacing the symmetry axes with perfectly reflecting mirrors. Global periodic orbits of the full system can be described completely by folding irreducible segments into periodic orbits in the fundamental domain. Correspondingly, the Ruelle \( \zeta \) function can be factorized in the product over different irreducible representations (irreps) of the symmetry group [26]. By taking into account the degeneracies of the periodic orbits and working in the fundamental domain, the Euler product (18) takes the form

\[
\prod_p (1 - t_p) = \prod_p (1 - t_p^h)^{m_p},
\]

(20)

where a modified semiclassical weight has been introduced:

\[
t_p^h = \frac{(-1)^{l_p}}{\sqrt{\Lambda_p}} \exp(ikl_p).
\]

(21)

In Eqs. (20) and (21), \( m_p = g/h_p \), \( g \) and \( h_p \) denoting respectively the order of the full symmetry group \( G \) and of the maximal subgroup leaving \( p \) invariant. \( h_p \) is the irreducible segment of the orbit \( p \) that corresponds to a fundamental domain orbit, \( L_p \) the number of collisions with the disk in the fundamental domain, and \( \Lambda_p = \Lambda_p^{l_p} \) the eigenvalue of the stability matrix in the fundamental domain. The calculation of \( \Lambda_p \) can be easily performed by diagonalizing the stability matrix \( J_p \) which, for hard-disk billiards, reads as [26,27]

\[
J_p^h = (-1)^{l_p} \prod_{k}^{n} \frac{1 - l_k}{1 - r_k} \left( \begin{array}{cc}
1 & 1 \\
0 & 1
\end{array} \right).
\]

(22)

Here, for simplicity, we rescaled the constant velocity to unit value. \( l_k \) denotes the length of the \( k \)th free-flight segment of the cycle \( p \), while \( r_k = 2a \cos \phi_k \) is the defocusing due to the \( k \)th reflection, occurring at an incidence angle \( \phi_k \).

By construction, only one irreducible representation appears whenever the system is probed in the fundamental domain. Note that, in practice, one can easily switch from one symmetry to another by varying the angle \( \theta = \pi/n \). We now recall some relevant formulas for the symmetry configurations examined in the experiment.

### A. \( C_2 \) factorization

The group \( G = C_{2n} \) is the appropriate symmetry group for two-disk scattering which, without being chaotic, offers the most important example of a nontrivial integrable scattering problem. An exact analytical solution is available for the classical dynamics [28], while a full quantum-mechanical calculation of the scattering resonances was performed in Refs. [29,32]. From the standpoint of semiclassical analysis, the symmetry group of the periodic orbits is \( G = C_2 \), characterizing the transformation properties of the orbits under the exchange of the two disks [29]. The fundamental domain is the half-space containing a single disk. There are just two group elements, \( C_2 = \{ e, P \} \), \( e \) being the identity and \( P \) the parity operation, and only one periodic orbit. Cycles classify according to the two irreducible representations \( A_1 \) (symmetric) and \( A_2 \) (antisymmetric). Let \( a \) and \( R \) denote the radius and the center-center disk separation, respectively, with the ratio \( a = R/a \). We have

\[
\zeta^{-1}_{A_1} = 1 - t_0, \quad \zeta^{-1}_{A_2} = 1 + t_0,
\]

(23)

with \( t_0 = -\exp(ik(R-2a))/\sqrt{\lambda} \), and

\[
\lambda = (\sigma - 1) + \sqrt{\sigma(\sigma - 2)},
\]

(24)
transformation, they include two rotations through $2\pi/3$ and $4\pi/3$ about the main axis, and three mirror reflections around the symmetry axes. The fundamental domain is bounded by a disk and the two adjacent sections of the symmetry axes acting as mirrors (one-sixth of the full space; see Fig. 3, inset). $C_{3v}$ has two 1D irreps $A_1$ and $A_2$ (symmetric and antisymmetric under reflections respectively), and one 2D irrep of mixed symmetry labeled $E$. The three-disk dynamical $\zeta$ function factorizes into $\zeta = \zeta_{A_1} \zeta_{A_2} \zeta_E^2$, the contributions of each given irreducible representation being given by the curvature expansion [26]

$$
\zeta_{A_1}^{-1} = 1 - t_0 - t_1 - (t_{01} - t_{01}t_1) - \left[ (t_{001} - t_0t_{01}) + (t_{011} - t_1t_{01}) \right] - \ldots
$$

for the $A_1$ subspace,

$$
\zeta_{A_2}^{-1} = 1 + t_0 - t_1 + (t_{01} - t_{01}t_1) - \left[ (t_{001} - t_0t_{01}) - (t_{011} - t_1t_{01}) \right] - \ldots
$$

for the antisymmetric $A_2$ subspace, and

$$
\zeta_E^{-1} = 1 + t_1 - (t_{01}^2 - t_{11}^2) + (t_{01} - t_{11}) + \left[ (t_{001} + t_{011} - t_{01}t_{01}) + t_{11} - t_{11}^2 \right] + \ldots
$$

for the mixed-symmetry subspace $E$. The representation in the fundamental domain is $A_2$. A detailed comparison between the semiclassical predictions and the exact quantum resonances for the three-disk scattering problem was reported in Refs. [3,30]. A semiclassical calculation using Fredholm determinant method was also performed in Ref. [31]. Exact quantum calculation was done in Ref. [32].

C. $C_{4v}$ factorization

The scattering problem of four equal disks placed on the vertices of a square is characterized by $C_{4v}$ symmetry. This is a group consisting of the identity, two reflections across the coordinate axes, two diagonal reflections, and three rotations by angles $\pi/2, \pi$, and $3\pi/2$. The fundamental domain is a sector delimited by a disk, a portion of the corresponding diagonal axis, and a portion of the concurrent coordinate axis [i.e., one-eighth of the full space; see Fig. 4(b), inset]. $C_{4v}$ has four 1D irreps, either symmetric ($A_1$) or antisymmetric ($A_2$) under both types of reflections, or symmetric under one and antisymmetric under the other ($B_1, B_2$), and one 2D representation $E$. The $\zeta$ function is factorized as $\zeta = \zeta_{A_1} \zeta_{A_2} \zeta_{B_1} \zeta_{B_2} \zeta_E^2$, where the contributions for the various invariant subspaces have the following curvature expansions [26]:

$$
\zeta_{A_1}^{-1} = 1 - t_0 - t_1 - t_2 - (t_{01} - t_0t_{01} + t_{02} - t_0t_{02} + t_{12} - t_1t_{12}) - (t_{001} - t_0t_{01}) - (t_{002} - t_0t_{02}) - (t_{012} - t_1t_{12}) - \ldots
$$

for the $A_1$ irreps, where $t_i$ are the transmission coefficients for the various invariant subspaces.

FIG. 2. Experimental (solid line) vs semiclassical (dashed line) transmission function $|S_2|^2$ for a two-disk system with $R = 40$ cm and $a = 5$ cm probed in the fundamental domain. (Inset) Sketch of the corresponding experimental configuration. The separation distance $R$ is indicated.

FIG. 3. Experimental (solid line) vs semiclassical (dashed line) transmission function $|S_2|^2$ for a three-disk system in the fundamental domain with $R = 20\sqrt{3}$ cm and $a = 5$ cm. The corresponding experimental configuration is sketched in the inset. The separation distance $R$ is indicated.
V. COMPARISON WITH EXPERIMENTAL RESONANCES

The experimental transmission function $|S_{21}(k)|^2 = X^2(k) + Y^2(k)$ (Sec. II) can be expressed as a superposition of Lorentzian peaks,

$$|S_{21}(k)|^2 = \sum_i \frac{c_i \gamma_i}{(k-s_i)^2 + \gamma_i^2},$$

where, as above, $s_i$ and $\gamma_i$ respectively denote the position and half-width of the resonances in the $k$ domain. The parameters $c_i$ are coupling coefficients that depend on the location of the two probes and reflect the coupling between the pick-up antenna with the $E_z$ pattern of a given resonant mode. Semiclassical calculations using the appropriate cycle expansion described in Sec. IV were performed for different geometries, leading to the real and imaginary parts $s_i$ and $\gamma_i$ of the resonances. In comparing with the observed traces, the parameters $c_i$ were set manually to fit the data. For a given scattering geometry, the trace $S_{21}$ used is the average of several traces collected at different probe locations in order to avoid missing resonances due to the accidental coincidence of either probe with a node of the wave function. In general, good agreement is found for the resonant frequencies of relatively sharp resonances (with typical quality factors in the range $Q = f/\Delta f \approx 50$). Broader resonances with larger imaginary parts are instead not easy to distinguish, although all resonances are always contributing to the transmission function. We now examine specific configurations.

**Two-disk configuration.** For two-disk scattering, preliminary measurements were reported in Ref. [33]. We carried out experiments in both the full and half-space geometries, with $a = 5$ cm and $R = 40$ cm. According to the discussion in Sec. II A, the trace is expected to exhibit resonance peaks at regularly spaced locations, $f_n = n \text{ GHz, } n = 1, 2, \ldots$, with a constant width approximately equal to $\Delta f = 0.29$ GHz [from Eq. (25)]. A typical experimental trace is shown in Fig. 2, where we focus on the $A_2$ resonances between 0 and 20 GHz. The corresponding calculated trace is depicted as a dashed line. The agreement is found to be quite good for both the resonances and their width. The regularity of such a spectrum will manifest itself clearly in the corresponding autocorrelation function.

**Three-disk configuration.** For the three-disk geometry, we recall that a first demonstration of classical chaotic scattering via scattering of laser light was presented in Ref. [34]. A typical microwave trace for a three-disk scatterer with $\sigma = 4 \sqrt{3}$ is presented in Fig. 3. Again, we focus on the fundamental domain representation of the scattering geometry, corresponding to resonances with $A_2$ symmetry. The semiclassical calculations, which are shown as a dashed line, are carried out by using the cycle expansion (17) with eight periodic orbits up to period 4. We verified that they accurately reproduce previous calculations on the same system [35,31,30]. For this scattering geometry, a comparison with the exact quantum mechanical calculations is also available [32], implying a stringent test for the validity of the semiclassical method. According to Fig. 3, the overall agreement is qualitatively good, especially for the locations of the sharper resonances.

The representation in the fundamental domain is $B_2$. A detailed comparison between the semiclassical predictions and the exact quantum resonances for the four-disk scattering problem was performed in Ref. [27].
Four-disk configuration. The traces of a four-disk scatterer with $\sigma=4$ in the full space and the fundamental domain are shown in Fig. 4 top and bottom, respectively. Semiclassical calculations (dashed line) were performed by including a total of 14 periodic orbits up to period 3 along the same procedure adopted in Ref. [27]. Resonances belonging to different symmetry characters can be identified in the full-space configuration and compared with the semiclassical predictions [27]. A similar comparison can be performed in the fundamental domain, only based on resonances in the $B_2$ subspace. As for the three-disk case, the semiclassical theory provides a qualitatively fair prediction of the resonance frequencies. We observe that, in general, the agreement for the lowest-energy widths is not as satisfactory, with discrepancies increasing with decreasing frequencies. This kind of discrepancy, which is also found in the three-disk geometry discussed above, is intrinsic to the semiclassical calculation because of the large correction of the stationary phase approximation [29]. For three- [30,32] and four-disk [27] systems, where exact quantum-mechanical calculations are available, the very low-lying resonance widths of semiclassical resonances appear to be systematically bigger (up to a factor 3) compared to the corresponding quantum ones.

A few general remarks are in order. Although the agreement between the experimental scattering resonances and the corresponding semiclassical predictions is generally within a few percent ($\approx 5\%$), some discrepancies are also shown from the data we analyzed. Such discrepancies may manifest themselves in the form of both frequency shifts or width modifications of the predicted resonances, as well as in the presence of additional peaks in the experimental trace. Various mechanisms and experimental limitations are expected to contribute as possible sources of errors, including symmetry-breaking perturbations introduced by nonperfect geometries, effects associated with spurious reflections, nonidealities in the operations of the microwave absorbers (e.g., frequency-dependent response), or slight height variations over the cavity area. The combined action of such mechanisms makes open-geometry microwave experiments comparatively more demanding with respect to their closed-cavity counterpart, where some of the above error sources are practically irrelevant. While a deeper understanding of the unavoidable nonidealities faced by the experiments, along with the necessary technical improvements, are likely to be necessary for establishing a fully quantitative detailed comparison, the level of agreement reached in our present investigation can be considered a very satisfactory match with the opportunity of retaining a relatively simple experimental methodology.

VI. SPECTRAL AUTOCORRELATION

We now turn to analyze the data in terms of the so-called spectral autocorrelation function, which was calculated as

$$C(\kappa) = \langle |S_21(k-(\kappa/2))|^2 |S_21(k+(\kappa/2))|^2 \rangle_k. \quad (31)$$

Here $\kappa$ is the wave-vector difference, and $\langle \rangle_k$ denotes an average over a band of wave vectors centered at some value $k=k_0$ and of width $\Delta k$, the latter being large enough to include an appreciable number of resonances. Average (31) also includes a suitable window function which is chosen as [36]

$$f(x) = \begin{cases} 1 - |x|/\sqrt{6}, & |x| < \sqrt{6}, \\ 0, & |x| \geq \sqrt{6}, \end{cases} \quad x = \frac{k-k_0}{\Delta k}. \quad (32)$$

Besides its intrinsic interest, an additional motivation for investigating the properties of $C(\kappa)$ comes from the correspondence, pointed out in Sec. III C, with experiments performed on mesoscopic transport. For ballistic conductors, a formally similar magnetic-field correlation function received extensive theoretical and experimental attention as a potential probe for quantum chaos [10,36]. A similar autocorrelation measure was also considered recently in the context of molecular photodissociation spectra [37]. In our microwave experiments, the wave vector plays the role of the magnetic field, and, since $|S_21(k)|^2 \propto T(k)$, the function $C(\kappa)$ can be regarded as a measure of the wave-vector correlations of the two-probe conductance. The dependence of the autocorrelation function on both the finite window $\Delta k$ and the center point $k_0$ has been checked in the calculations. We consider the average of autocorrelations with a different $k_0$ to compensate for slight dependences on the center point. Plots of typical experimental autocorrelations for two-, three-, and four-disk systems are shown in Figs. 5, 6, and 7, respectively.

By inserting the explicit representation of $|S_21(k)|^2$ as a sum of Lorentzians, Eq. (30), the autocorrelation is found as

$$C(\kappa) = \pi \sum_i c_i c_j (\gamma_i + \gamma_j) \left( \frac{\kappa - (s_i - s_j)}{\kappa^2 + 4 \gamma_i^2} \right)^2. \quad (33)$$

In a regime where there are no overlapping resonances, $|s_i - s_j| \gg (\gamma_i + \gamma_j)$, and the small-$\kappa$ behavior of the autocorrelation can be simplified as [35]

$$C(\kappa) = \pi \sum_i \frac{2 c_i^2 \gamma_i}{\kappa^2 + 4 \gamma_i^2}. \quad (34)$$

![FIG. 5. Wave-vector autocorrelation $C(\kappa)$ of the two-disk system with $R=40$ cm and $\sigma=5$ cm. Data are shown for the half-space configuration of the two-disk geometry, corresponding to the $A_2$ representation. The correlation is calculated with interval $\Delta k = 3$ cm$^{-1}$. The different sets represent different values of the central wave vector $k_0$. The bold line is a Lorentzian with $\gamma_{\text{om}} = 0.083$ cm$^{-1}$.](image-url)
where the parameter $g$ is identified with the classical escape rate from the chaotic scattering region, with the velocity scaled to 1. Accordingly, one can interpret the width of the autocorrelation function as an average width (and thereby lifetime) of the resonances [40,41].

By exploiting a result from semiclassical random matrix theory, the above sum can be replaced by a single Lorentzian [38,39]

$$C(\kappa) = C(0) \frac{1}{1 + (\kappa/g)^2},$$

where $g = g_{cl}$ is the classical escape rate, $\lambda$ is the Lyapunov exponent of the manifold of infinitely trapped orbits (strange repeller), and $d$ is the information dimension of the unstable manifolds. The scaled escape rate, corresponding to the unit velocity, is defined as $\gamma_{cl} = \bar{\gamma}_{cl}/v$, $v$ being the speed of the particles. The classical escape rate can be calculated through the classical Ruelle $\xi$ function [4,5],

$$\xi_{\rho}(s) = \prod_p [1 - \exp(s|\lambda_p|\Lambda^\beta_p)]^{-1},$$

which is analytical in the half-plane $\text{Re} < - P(\beta)$, and has poles in the other half-plane. In particular, $\xi_{\rho}(s)$ has a simple pole at $s = - P(\beta)$. Here $P(\beta)$ is the so-called Ruelle topological pressure, from which all the characteristic quantities of classical dynamics can be derived in principle. The classical escape rate is $\gamma_{cl} = P(1)$.

For the various scattering geometries investigated experimentally, we calculated the appropriate autocorrelation function from the observed trace, and fitted the small-$\kappa$ portion of the resulting curve with the Lorentzian behavior (35), thereby extracting an experimental escape rate $\gamma_{qm}$. In general, good agreement is observed with the classical prediction $\gamma_{cl}$, implying that in the regime of universality the characteristic scale of wave-vector correlations in the measured two-point quantum conductance is well reproduced by knowledge of the chaotic classical scattering dynamics, through the classical escape rate $\gamma_{cl}$.

**Two-disk configuration.** For an integrable two-disk system, the information dimension $d = 0$, thus $\gamma_{cl} = \lambda$. For unit velocity and $R > 2a$, we obtain [5]

$$\gamma_{cl} = \lambda = \frac{1}{R - 2a} \ln \Lambda,$$

$\Lambda = \Lambda(\sigma)$ being the eigenvalue of the monodromy matrix introduced in Eq. (24). The autocorrelation for the experimental set up with $a = 5$ cm and $R = 40$ cm ($\sigma = 8$) is shown in Fig. 5. A value $\gamma_{qm} = 0.083$ cm$^{-1}$ is found, which is in excellent agreement with the classical result $\gamma_{cl} = 0.088$ cm$^{-1}$.

**Three-disk configuration.** For the three-disk scatterer, we have [5]

$$\gamma_{cl} = \frac{1}{R} \ln (1.072\sigma).$$

A representative wave-vector autocorrelation function for this system is displayed in Fig. 3, where the fundamental
domain configuration has been investigated with \( a = 5 \text{ cm} \) and \( R = 20\sqrt{3} \text{ cm} \). From Eq. (39), \( \gamma_{e,t} \approx 0.058 \text{ cm}^{-1} \), to be compared with the experimental value \( \gamma_{qm} = 0.064 \text{ cm}^{-1} \). The latter, leading to a scaled value \( \gamma_{qm} a = 0.32 \), is in very good agreement with both semiclassical and Monte Carlo estimates as given by Ref. [30]. The quantitative agreement between the small-\( \kappa \) decay of correlations and the Lorentzian curve demonstrates explicitly that behavior in such a region is universal, with no dependence on the actual details of the geometry.

**Four-disk configuration.** For the four-disk scatterer, we use the results of Refs. [4,5] for comparison with the experimental data. Asymptotically, for large \( R \),

\[
\gamma_{e,t} \approx \frac{1}{\sqrt{2R}} \ln(2\sqrt{2} \sigma). \tag{40}
\]

The autocorrelation function for four-disk data in the fundamental domain is displayed in Fig. 7, corresponding to \( a = 5 \text{ cm} \) and \( R = 20\sqrt{2} \text{ cm} \). The value \( \gamma_{qm} = 0.070 \text{ cm}^{-1} \) compares rather well with the estimate from Eq. (40), \( \gamma_{e,t} = 0.069 \text{ cm}^{-1} \).

In Fig. 8, the experimental escape rates \( \gamma_{qm} \) of the four-disk system are compared with the classical prediction \( \gamma_{e,t} \) for several values of the ratio \( \sigma \). Note that data are included for 17 configurations of the different reduced (1/8, 1/4, 1/2, and full space) representations of the four-disk geometry shown in Fig. 4. The radius of the disks used was \( a = 5 \text{ cm} \) for the 1/8 space, and \( a = 2 \text{ cm} \) for the others. A relevant quantity is the abscessa of absolute convergence \( s_c \) for Eq. (17), which can also be estimated from the Ruelle \( \xi \) function with the classical cycle weights \( t_p \) replaced by the corresponding semiclassical ones. \( s_c \) serves as a crude lower bound of the escape rate [30]. The latter is also shown in Fig. 8. \( s_c \) becomes negative for \( R/a < 4.5 \).

By comparing the results found for the escape rate while passing from two- up to four-disk scattering, progressively smaller values are obtained. In general, it is interesting to examine the variation of the escape parameter with increasing number \( n \) of scatterers. For \( n \to \infty \), one obtains a so-called Lorentz scatterer [5]. We carried out experiments with \( n = 20 \), with a corresponding (scaled) escape rate \( \gamma_{qm} = 0.05 \), which is roughly an order of magnitude smaller than the three- or four-disk values. In agreement with physical intuition, this indicates that the system approaches a closed system when the number of disks becomes very large. Accordingly, the escape rate from the chaotic region is found to be quite small.

**B. Nonuniversal features**

For intermediate \( \kappa \), the semiclassical prediction of Eq. (35) fails because of the presence of the periodic orbits, which leads to a nonuniversal behavior. In the case of just one periodic orbit, one may express the full two-point correlation function as

\[
C(\kappa) = \sum_{n=0}^{\infty} \frac{2\gamma}{(\kappa - n\Delta s)^2 + 4\gamma^2}, \tag{41}
\]

where \( \Delta s \) denotes the spacing between resonances in the wave-vector domain. For example, for the two-disk problem discussed above (Sec. IV A), \( \Delta s = 2\pi/(R-2a) \), provided the space is probed through the antisymmetric \( A_2 \) representation only [33]. Thus the autocorrelation oscillates with period \( \Delta s \). Very good agreement is found between experiment and theory for this integrable two-disk system (Fig. 5), where the expected value \( \Delta s = 0.21 \text{ cm}^{-1} \) is identical with the observed oscillation period.

For the three-disk scatterer in the fundamental domain, the average length of the periodic orbit per period is roughly given by \( (l_0 + l_1)/2 \), the mean separation of the resonances being therefore

\[
\overline{\Delta s}_{FD} = \frac{4\pi}{2R - (2 + \sqrt{3})a}. \tag{42}
\]

The autocorrelation is expected to oscillate with a period roughly equal to \( \overline{\Delta s}_{FD} \). The value \( \overline{\Delta s}_{FD} = 0.25 \text{ cm}^{-1} \) predicted from Eq. (42) is in very good agreement with the scale of the oscillations in Fig. 6.

Let us finally discuss the four-disk scatterer. In the full space, the average length of the periodic orbits per period can be estimated as the average length of the eight periodic orbits, 12, 23, 34, 41, 1234, 1432, 13, and 24, where 1, 2, 3, and 4 are the labels of the four disks [26]. The mean separation between the resonances is then given approximately by

\[
\overline{\Delta s}_{full} = \frac{2\pi}{(2 + 1/\sqrt{2})R - (3 + \sqrt{2})a}. \tag{43}
\]

For the four-disk system in the fundamental domain (one-eighth of the phase space), the average length of the periodic orbit per period is \( (l_0 + l_1 + l_2)/3 \); thus the mean separation is
\[
\Delta s_{FD} = \frac{6 \pi}{(3 + \sqrt{2})R - 2(2 + \sqrt{2})a} \tag{44}
\]

The autocorrelation will oscillate with an approximate period equal to \(\Delta s_{FD}\), which indicates the deviation from the semiclassical theory due to the presence of the periodic orbits. Thus the large wave-vector (or short time) behavior is system specific. The value of \(\Delta s_{FD} = 0.21 \text{ cm}^{-1}\) found from Eq. (44) is in good agreement with the scale of oscillations in Fig. 7.

Nonuniversal contributions can play in general a crucial role in determining the overall structure of the spectral autocorrelation, since they can be of the same order of the universal result of random matrix theory. Semiclassical methods have recently provided an insightful tool in modeling nonuniversal properties in addition to universal ones [42]. Beside the general remarks mentioned above, the systematic identification of nonuniversal features, along with their interplay with the universal scattering properties, deserves a separate experimental investigation.

### VII. Discussion and Conclusions

We presented an extensive experimental investigation of hard-disk chaotic scattering in microwave open cavities. The experiments provide a conceptually clean and direct realization of the \(n\)-disk open billiard problem. By exploiting our (in principle unlimited) capability to vary the geometry, chaotic scattering was studied in various configurations by both changing the number of disks and the symmetry properties of the underlying phase space.

Two main conclusions can be drawn from the experiments. First, the general validity and the predictive power of semiclassical methods have been tested directly by comparing the observed spectra with the corresponding semiclassical predictions. Qualitative agreement has been verified in all the situations investigated, and quantitative comparison found for a wide class of relatively sharp resonances. In addition, the experiments point out the validity of the wave-vector autocorrelation function as a probe for phase-space structure and quantum chaos. Values of wave-mechanical escape rates have been extracted from the observed autocorrelations, and compared to their classical counterparts. In general, the agreement observed between the measured escape parameters and the corresponding semiclassical predictions tends to be quantitatively superior to the one reachable in the detailed comparison of single resonance peaks. A similar conclusion has been reached for closed geometries where a much better agreement between experimental and numerical results has been obtained for the statistical properties than for the comparison of the individual resonances [43]. This feature reflects the nature of the escape rate as an average spectral property.

We remark that the present experiments, which probe wave-vector dependence, nicely complement measurements performed on semiconductor microstructures, where a similar role has been stressed for the magnetic-field correlation of the two-point conductance. From the broader perspective of *quantum-classical correspondence*, the experiments shed light on the interplay between classical and quantum features of the scattering dynamics, by showing that measurable properties like quantum correlation lengths can be predicted from a knowledge of the classical chaotic scattering behavior.

Our investigation clearly points out, among other issues, the need for a deeper understanding of the nonuniversal properties of the spectral statistics and their interplay with universal ones. Such an investigation is likely to involve wave-vector autocorrelation functions as considered in the present analysis, as well as different quantities useful to characterize and probe the chaotic dynamics. In general, novel tools may be required in order to pull out the whole amount of information encapsulated in the observed spectra. Work is ongoing along these directions.

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